RISK REGISTER

ISK																						
Risk				_		•		Risk	Residu	ual Risk	Currer	t Risk		Date Risk								
			Risk Cause			1 to 6	1	Р	1	Р	I	Р										
				Reduction in licensed vehicles, reduced income to Licensing Authority, less																		
Economy	т	Local and national economy	Financial hardship to licence holders	vehicles accessible to public.	8-Oct-12	2	5	4	5	4	2	2	JA	08.07.13								
		are received from trade should	seen to be taking tough stance -																			
Excessive objections	Т	proposals	reduces this risk	Reputational damage	8-Oct-12	2	3	3	3	3	3 2	2	JA	08.07.13								
Law Commission	т	Law Commission reforming laws on Taxis and Private Hire services	Council may implement policy that is deemed invalid when Law Commission proposals become reality	Reputational damage, financial cost to Authority	8-Oct-12	1	3	3	3	3	3 2	2	JA	08.07.13								
	Risk Risk Title Economy Excessive objections	Risk Opportunity/   Risk Title Threat   Economy T   Excessive objections T	Risk     Opportunity/ Threat     Risk Description       Economy     T     Local and national economy       Economy     T     Local and national economy       Excessive numbers of objections are received from trade should we seek to consult on vehicle age proposals     T       Excessive objections     T     proposals       Law Commission reforming laws on Taxis and Private Hire     Commission reforming laws	Risk     Opportunity/ Threat     Risk Description     Risk Cause       Economy     T     Local and national economy     Financial hardship to licence holders       Economy     T     Local and national economy     Financial hardship to licence holders       Economy     T     Local and national economy     Financial hardship to licence holders       Excessive numbers of objections are received from trade should we seek to consult on vehicle age Excessive objections     Varying age limits nationally, we may be seen to be taking tough stance - HOWEVER gpl Committee proposal reduces this risk       Excessive objections     T     proposals     reduces this risk Law Commission reforming laws on Taxis and Private Hire     Council may implement policy that is deemed invalid when Law Commission	Risk     Opportunity/ Threat     Risk Description     Risk Cause     Consequence       Economy     T     Local and national economy     Financial hardship to licence holders     Reduction in licensed vehicles, reduced income to Licensing Authority, less vehicles accessible to public.       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B-Oct-12   Z   Z   Z   Z   Z   Z	Risk   Corporate Objective   Corporate Objective <th coject<="" td=""><td>Risk   Corporate Objective   Gross Risk   Residual Risk   Current Risk   Owner     Risk Title   Threat   Risk Description   Risk Cause   Consequence   Date raised   1 to 6   I   P   I   <thp< th="">   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   I   I   I<!--</td--><td>Risk   Corporate   <th colspan="6" corporate<="" t<="" td=""></th></td></thp<></td></th>	<td>Risk   Corporate Objective   Gross Risk   Residual Risk   Current Risk   Owner     Risk Title   Threat   Risk Description   Risk Cause   Consequence   Date raised   1 to 6   I   P   I   <thp< th="">   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   I   I   I<!--</td--><td>Risk   Corporate   <th colspan="6" corporate<="" t<="" td=""></th></td></thp<></td>	Risk   Corporate Objective   Gross Risk   Residual Risk   Current Risk   Owner     Risk Title   Threat   Risk Description   Risk Cause   Consequence   Date raised   1 to 6   I   P   I <thp< th="">   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   P   I   I   I   I<!--</td--><td>Risk   Corporate   <th colspan="6" corporate<="" t<="" td=""></th></td></thp<>	Risk   Corporate   Corporate <th colspan="6" corporate<="" t<="" td=""></th>						

Key

## Key

CLOSED ACTION/Risk

## ACTIONS MUST BE 'SMART' Specific, Measurable, Achievable, Realistic and Time bound

Mandatory requirement to replace older   Plan to reduce emissions in City and imporve vehicle safety by seeking   To commence from 01.01.2016   01.01.16   100% 08.07.13     1   licensed vehicles   JA   A   Current economic climate is detrimental to taxi / private hire trade - requirement to replace existing older vehicle may be seen as not taking this risk in to account   Economic forecast is not good, number of licence holders   01.01.16   100% 08.07.13     2   Economy   JA   A   Ensure messages & publicity are clear on why such a regulation is requirement through Public Involvement Brief to Board   Increasing as need for additional income grows in light of job cuts and pay freezes   01.01.16   100% 08.07.13     3   Consultation   JA   A   Board   This was done prior to consultation regetive   n/a   0% 08.07.13     Negative response to 4 consultation   JA   A   Board   This was done prior to consultation recommendation will allow for age limit - this is resolved through recommendation of GPL Committee   It is possible that any policy decision taken by the Council now may be considered void up the implementation of the decisions made by Government to the Law Commission proposed reforms (draft Bill expected end of 2013 /   0% 08.07.13	Risk ID		Action Owner	Accept, Contingency, Transfer, Reduce or Avoid	Details of Action	Key Milestones	Milestone Delivery Date	%Action Complete	Date Reviewed
replace older   imporve vehicle safety by seeking implementation of Vehicle Age limits   To commence from 01.01.2016   01.01.16   100% 08.07.13     Implementation of Vehicle Age limits   Current economic climate is detrimental to taxi / private hire trade - requirement to replace existing older vehicle may be seen as not taking this risk in to account   Implementation of Vehicle Age limits   Implementation of Vehicle Age limits   Implementation of Vehicle Age limits     2   Economy   JA   A   account   Implementation of Vehicle Age limits   Implementation of Pace Age Age Pace Age Age Age Age Age Age Age Age Age Ag					Plan to reduce emissions in City and				
1   licensed vehicles   JA   A   implementation of Vehicle Age limits   To commence from 01.01.2016   01.01.16   100% 08.07.13     Current economic climate is detrimental to taxi / private hire trade - requirement to replace existing older vehicle may be seen as not taking this risk in to account   Immber of licence holders increasing as need for additional income grows in light of job cuts and pay freezes   01.01.16   100% 08.07.13     2   Economy   JA   A   account   and pay freezes   01.01.16   100% 08.07.13     3   Consultation   JA   A   Ensure messages & publicity are clear on why such a regulation is required through Public Involvement Brief to Board   This was done prior to consultation   n/a   0% 08.07.13     Negative response to 4   Consultation   JA   A   Board   Direction required from Board if this new recommendation will allow for sensible introduction of age limits   11.09.13   0% 08.07.13     4   consultation   JA   A   recommendation of GPL Committee   It is possible that any policy decision taken by the Council now may be considered void up the implementation of the decisions made by Government to the Law Commission proposed reforms   It is prostole reforms   Commission proposed reforms									
2   Economy   JA   A   Current economic climate is detrimental to taxi / private hire trade - requirement number of licence holders to replace existing older vehicle may be increasing as need for additional income grows in light of job cuts and pay freezes   01.01.16   100% 08.07.13     2   Economy   JA   A   account   and pay freezes   01.01.16   100% 08.07.13     3   Consultation   JA   A   Ensure messages & publicity are clear on why such a regulation is required through Public Involvement Brief to Board   This was done prior to consultation n/a   0% 08.07.13     Negative response to 4 consultation   JA   A   Board   Direction required from Board if this new recommendation of GPL Committee   sensible introduction of age limits   11.09.13   0% 08.07.13     4   consultation   JA   A   recommendation of GPL Committee   sensible introduction of age limits   11.09.13   0% 08.07.13     1   ti spossible that any policy decision take no the laws and regulations   It is possible that any policy decision take no the laws and regulations   Commission proposed reforms (draft Bill expected end of 2013 /	1		JA	А		To commence from 01.01.2016	01.01.16	100%	08.07.13
Image: Consultation   JA   A   ito taxi / private hire trade - requirement to replace existing older vehicle may be increasing as need for additional income grows in light of job cuts and pay freezes   01.01.16   100% 08.07.13     Image: Consultation   JA   A   Ensure messages & publicity are clear on why such a regulation is required through Public Involvement Brief to Through Public Involvement Brief to concerned with 8 year renewal upper age limit - this is resolved through age limit - this is possible that any policy decision taken by the Council now may be considered void upn the implementation of the decisions made by Government to the Law Commission are currently seeking to reform the laws and regulations   It is possible that any policy decisions made by Government to the Law Commission proposed reforms (draft Bill expected end of 2013 /						Economic forecast is not good,			
2   Economy   JA   A   seen as not taking this risk in to account   income grows in light of job cuts and pay freezes   01.01.16   100% 08.07.13     2   Ensure messages & publicity are clear on why such a regulation is required through Public Involvement Brief to Board   Triade in general were not concerned with new licence upper ae limit, but concerned with the we licence upper ae limit, but concerned with 8 year renewal upper age limit - this is resolved through recommendation of GPL Committee   Direction required from Board if this new recommendation will allow for sensible introduction of age limits   11.09.13   0% 08.07.13     4   Image: Consultation of GPL Committee   It is possible that any policy decision taken by the Council now may be considered void upn the implementation of the decisions made by Government to the Law Commission are currently seeking to reform the laws and regulations   It is possible that any policy decision face network of the decisions made by Government to the Law									
2 Economy   JA   A   account   and pay freezes   01.01.16   100%   08.07.13     3 Consultation   JA   A   Ensure messages & publicity are clear on why such a regulation is required through Public Involvement Brief to Board   This was done prior to consultation   n/a   0%   08.07.13     Negative response to 4 consultation   JA   A   Board   This was done prior to consultation   n/a   0%   08.07.13     Image: the sponse to 4 consultation   JA   A   Board   Direction required from Board if this new recommendation will allow for sensible introduction of age limits   11.09.13   0%   08.07.13     Image: the sponse to 4 consultation   JA   A   Ecommendation of GPL Committee   Direction required from Board if this new recommendation will allow for sensible introduction of age limits   11.09.13   0%   08.07.13     Image: the sponse to 4 consultation   JA   A   Ecommendation of GPL Committee   It is possible that any policy decision taken by the Council now may be considered void upn the implementation of the decisions made by Government to the Law Commission proposed reforms (draft Bill expected end of 2013 /   Image: the sponse of the spon					to replace existing older vehicle may be	increasing as need for additional			
3 Consultation   JA   A   Ensure messages & publicity are clear on why such a regulation is required through Public Involvement Brief to Board   This was done prior to consultation   n/a   0%   08.07.13     Negative response to 4 consultation   JA   A   Trade in general were not concerned with 8 year renewal upper age limit - this is resolved through through recommendation of GPL Committee   Direction required from Board if this new recommendation of age limits   11.09.13   0%   08.07.13     4   Consultation   JA   A   recommendation of GPL Committee   Sensible introduction of age limits   11.09.13   0%   08.07.13     Law Commission are currently seeking to reform the laws and regulations   Law Commission are currently seeking to reform the laws and regulations   Commission proposed reforms (draft Bill expected end of 2013 /   11.09.13   0%									
a   on why such a regulation is required through Public Involvement Brief to Board   This was done prior to consultation n/a   0% 08.07.13     3   Consultation   JA   A   Board   This was done prior to consultation n/a   0% 08.07.13     Negative response to 4 consultation   JA   A   Trade in general were not concerned with 8 year renewal upper age limit, but concerned with 8 year renewal upper age limit + this is resolved through age limit - this is resolved through recommendation of GPL Committee   Direction required from Board if this new recommendation of age limits 11.09.13   0% 08.07.13     4   consultation   JA   A   recommendation of GPL Committee   sensible introduction of age limits 11.09.13   0% 08.07.13     4   Law Commission are currently seeking to reform the laws and regulations   It is possible that any policy decision taken by the Council now made by Government to the Law Commission proposed reforms (draft Bill expected end of 2013 /	2	Economy	JA	A		and pay freezes	01.01.16	100%	08.07.13
3 Consultation   JA   A   through Public Involvement Brief to Board   This was done prior to consultation   n/a   0% 08.07.13     Negative response to 4 consultation   Trade in general were not concerned with new licence upper ae limit, but concerned with 8 year renewal upper age limit - this is resolved through 4 consultation   Direction required from Board if this new recommendation will allow for sensible introduction of age limits   11.09.13   0% 08.07.13     Image: the sense of the sense o									
3 Consultation   JA   A   Board   This was done prior to consultation   n/a   0% 08.07.13     Negative   Trade in general were not concerned with new licence upper ae limit, but concerned with 8 year renewal upper age limit - this is resolved through   Direction required from Board if this new recommendation will allow for sensible introduction of age limits   11.09.13   0% 08.07.13     4 consultation   JA   A   recommendation of GPL Committee   sensible introduction of age limits   11.09.13   0% 08.07.13     Image: the sensible introduction of age limits   Image: the sensible introduction of age limits   11.09.13   0% 08.07.13     Image: the sensible introduction of age limits   Image: the sensible introduction of age limits   11.09.13   0% 08.07.13     Image: the sensible introduction of age limits   Image: the sensible introduction of age limits   11.09.13   0% 08.07.13     Image: the sensible introduction of the decisions made by Government to the Law   Image: the sensible introduction of the decisions   Image: the sensible introduction of 2013 /   Image: the sensible introduction of 2013 /									
Negative response to 4 consultation   JA   A   Trade in general were not concerned with new licence upper ae limit, but concerned with 8 year renewal upper age limit - this is resolved through recommendation of GPL Committee   Direction required from Board if this new recommendation will allow for sensible introduction of age limits   11.09.13   0% 08.07.13     It is possible that any policy decision taken by the Council now may be considered void upn the implementation of the decisions made by Government to the Law Commission proposed reforms (draft Bill expected end of 2013 /   It is possible introduction of 2013 /		O a manufit a tila m	1.0		-		1	00/	00.07.40
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Negative response to 4 consultation   JA   A   concerned with 8 year renewal upper age limit - this is resolved through recommendation of GPL Committee   Direction required from Board if this new recommendation will allow for sensible introduction of age limits   11.09.13   0% 08.07.13     It is possible that any policy decision taken by the Council now may be considered void upn the implementation of the decisions made by Government to the Law Commission proposed reforms (draft Bill expected end of 2013 /   It is possible that any policy decision taken by the Council now may be considered void upn the implementation of the decisions made by Government to the Law									
response to 4 consultation   JA   A   age limit - this is resolved through recommendation of GPL Committee   new recommendation will allow for sensible introduction of age limits   11.09.13   0% 08.07.13     It is possible that any policy decision taken by the Council now may be considered void upn the implementation of the decisions made by Government to the Law Commission proposed reforms (draft Bill expected end of 2013 /   It is possible that any policy decision taken by the Council now may be considered void upn the implementation of the decisions made by Government to the Law		Negative				Direction required from Board if this			
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It is possible that any policy     decision taken by the Council now     may be considered void upn the     implementation of the decisions     made by Government to the Law     Commission are currently seeking     to reform the laws and regulations	4		JA	А			11.09.13	0%	08.07.13
					Law Commission are currently seeking	It is possible that any policy decision taken by the Council now may be considered void upn the implementation of the decisions made by Government to the Law Commission proposed reforms			
5 Law Commission JA A relating to Taxi and Private Hire early 2014) 01.01.16 0% 08.07.13	5	Law Commission	ΙΔ	Δ	relating to Taxi and Private Hire		01.01.16	0%	08 07 13

Risk ID	Risk Title	Action Owner	Accept, Contingency, Transfer, Reduce or Avoid	Details of Action		Milestone Delivery Date	%Action Complete	Date Reviewed
					CEB may feel it is prudent to allow			
					National Standards for licensed			
				All of the charge listed visits represent	vehicles that would be set by			
				All of the above listed risks represent	Secretary of State if Law			
				potential damage to Council reputation and alienation of the Taxi and Private	Commission proposals become a reality to be set, rather than risk			
				Hire trade if a mandatory decision is	implementing own policy whilst			
	Reputation of			taken before the the Law Commission	trade are aware of the Law			
6	Council	JA	А	proposals are ratified.		01.01.16	100%	08.07.13
		0, (		The Council may feel that a	Any improvement to pollution	01.01.10	10070	00.01.10
				modernisation of licensed vehicles	levels, and more modern fleet			
				would enhance the image and clean air				
				of Oxford and promote public and driver				
7	Council Vision	JA	A	safety	of the trade	01.01.16	100%	08.07.13
					CEB may wish to promote lower			
					emissions and stricter checks on			
					vehicles by looking to implement 3			
					Tests per annum for older vehicles,			
				Emission Testing is part of the	putting more responsibility on			
				Certificate of Compliance Test all	owners to maintain higher and			
	Compliance			-	more frequent levels of			
8	Testing	JA	A	6 months	compliance.	01.01.16	100%	08.07.13

# **Risk ID Categories**

CRR-000	Corporate Risk Register
SRR-000	Service Risk Register
CEB-000	CEB reports
PRR-000	Project/Programme Risk Register
PCRR-000	Planning Corporate Risk Register
PSRR-000	Planning Service Risk Register

#### Service Area Codes

PCC	Policy, Culture & Communication	CS	Customer Services
CD	City Development	FI	Finance
CHCD	Community Housing & Community Development	BT	Business Transformation
CA	Corporate Assets	PS	Procurement & Shared Services
OCH	Oxford City Homes	CP	Corporate Performance
CW	City Works	LG	Law and Governance
ED	Environmental Development	CRP	Corporate Secretariat
CL	City Leisure	PE	People & Equalities

## **Corporate Objective Key**

- 1 Core policies and plans
  - A vibrant and sustainable
- 2 economy
- 3 Meeting housing need
- 4 Strong and active communities
- 5 Cleaner greener Oxford
- 6 An efficient and effective council